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**FACSIMILE****FROM:**

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Attorney's E-Mail: bhickman@hptb-law.com Sender's Fax: San Jose, CA (408) 414-1076  
Secretary: Darci Sakamoto Direct Phone: 408-414-1080x211  
Client/Matter/Tkpr: 50277-1511 Date: 11/22/04 Time Sent: \_\_\_\_\_  
Number of pages including this page: 8

**TO:**

Name	Company	Facsimile No.	Contact No.
Miranda Le	USPTO	703/872-9306	571/272-4112

**MESSAGE:** Application No. - 09/872,235  
Filing Date - May 31, 2001  
First Named Inventor - Jean-Louis Baffier  
Attorney Docket No. - 50277-1511  
Confirmation Number - 2420  
Examiner - Miranda Le  
Art Unit - 2167

An IDS in the above-referenced case was submitted on September 17, 2003. Pages 2 and 3 (copies enclosed) have been initialed by the Examiner.

Please initial page 1 of the enclosed Form PTO-1449 and return to us via facsimile, (408) 414-1076.

(Also enclosed is the postcard and IDS with certificate of mailing)

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <b>(PTO-1449)</b>				<b>ATTY. DOCKET NO.</b> 50277-1511		<b>SERIAL NO.</b> 09/872,235	
				<b>APPLICANT:</b> Jean-Louis Baffier et al.			
				<b>FILING DATE:</b> May 31, 2001		<b>GROUP:</b> 2171	
<b>U.S. PATENT DOCUMENTS</b>							
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (If known)				
		5,606,693		Nilsen et al.	02-25-1997		
		5,668,986		Nilsen et al.	09-16-1997		
		5,809,237		Watts et al.	09-15-1998		
		5,819,066		Bromberg et al.	10-06-1998		
		5,838,918		Prager et al.	11-17-1998		
		5,848,243		Kulkarni et al.	12-08-1998		
		5,978,811		Smiley	11-02-1999		
		6,016,394		Walker	01-18-2000		
		6,044,367		Wolff	03-28-2000		
		6,052,720		Traversat et al.	04-18-2000		
		6,075,938		Bugnion et al.	06-13-2000		
		6,075,939		Bunnell et al.	06-13-2000		
		6,170,061	B1	Beser	01-02-2001		

<b>FOREIGN PATENT DOCUMENTS</b>								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		EP	0 274 406	A2	Computer X, Inc.	07-13-1988		
		EP	0 803 808	A2	Sun Microsystems, Inc.	10-29-1997		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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## Acknowledgment Receipt

Mailing Date: September 17, 2003

Attorney: BDH/cf:jn  
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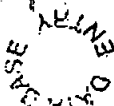
Attorney Docket No. 50277-1511

Serial No.: 09/872,235

Inventor(s): Jean-Louis Baffier, et al.

Title: TECHNIQUES FOR AUTOMATICALLY PROVISIONING A DATABASE  
OVER A WIDE AREA NETWORK

## Documents Enclosed:

- 
- 1) Information Disclosure Statement (3 pgs)
  - 2) PTO 1449 (3 pgs)
  - 3) 25 References Cited
  - 4) Return Acknowledgment Postcard



Kindly stamp the receipt date and return to addressee to acknowledge receipt of the above documents.

OID 2000-058-01

Attorney Docket No. 50277-1511

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Jean-Louis Baffier, et al.

Serial No.: 09/872,235

Filed on: May 31, 2001

)	Confirmation No.: 2420
)	
)	Examiner: NYA
)	
)	Group Art Unit No.: 2171
)	

For: **TECHNIQUES FOR AUTOMATICALLY PROVISIONING A DATABASE OVER A WIDE AREA NETWORK**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e)

       1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart

OID 2000-058-01

1

## Attorney Docket No. 50277-1511

foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Attorney Docket No. 50277-1511

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG &amp; BECKER LLP

Dated: September 15, 2003

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Brian D. Hickman  
Reg. No. 35,894

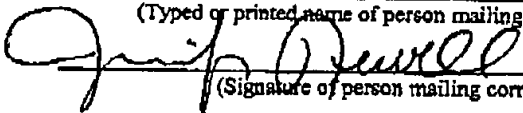
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September 17, 2003  
(Date of Deposit)

Jennifer Newell

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

<b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <b>(PTO-1449)</b>				<b>ATTY. DOCKET NO.</b> 50277-1511		<b>SERIAL NO.</b> 09/872,235	
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		Number	Kind Code <sup>2</sup> (If known)				
ml		6,341,303	B1	Rhee et al.	01-22-2002		
ml		6,345,294	B1	O'Toole et al.	02-05-2002		
ml		6,381,324	B1	Shaffer et al.	04-30-2002		
ml		6,401,085	B1	Gershman et al.	06-04-2002		
ml		6,487,547	B1	Ellison et al.	11-26-2002		
ml		6,519,640	B2	Hattori et al.	02-11-2003		
ml		6,564,261	B1	Gudjonsson et al.	05-13-2003		
ml		6,580,904	B2	Cox et al.	06-17-2003		
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<b>SEP 24 2001</b>							
<b>Technology Center 2100</b>							

<b>FOREIGN PATENT DOCUMENTS</b>								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

<b>Examiner Signature</b> 	<b>Date Considered</b> 10/19/03
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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[illegible]

Examiner Signature	<i>Miranda</i>	Date Considered 10/18/03
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